

DIVISION OF RESEARCH PROGRAMS

PUBLIC SCHOLAR PROGRAM

Online applications will be accepted through February 6, 2019 (for projects beginning as early as September 1, 2019). Applicants will be notified of the decision by e-mail in early August 2019.

Catalog of Federal Domestic Assistance (CFDA) Number: 45.160

Funding Opportunity Number: 20190206-FZ

If after reading this document you have questions about this grant program, contact NEH's Division of Research Programs at 202-606-8200 or publicscholar@neh.gov. Applicants who are deaf or hard of hearing can contact NEH via Federal Relay (TTY users) at 800-877-8399.

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I. Program Description

The Public Scholar Program supports the creation of well-researched books in the humanities intended to reach a broad readership. Some humanities scholarship is necessarily specialized, but the humanities can also engage broad audiences in exploring subjects of general interest. Such scholarship might present a narrative history, tell the stories of important individuals, analyze significant texts, provide a synthesis of ideas, revive interest in a neglected subject, or examine the latest thinking on a topic. Books supported by this program must be grounded in humanities research and scholarship, making appropriate use of primary and/or secondary sources. They must also be written in a readily accessible style, addressing significant humanities themes in a way that will appeal to a large audience of general readers. Applications to write books directed primarily to scholars are not appropriate for this program. Applicants may seek support for the creation of digital or Web-based products intended to supplement the book that they propose.

By establishing the Public Scholar Program, NEH has entered a long-term commitment to encourage scholarship in the humanities for general audiences. The program is open to both individuals affiliated with scholarly institutions and to independent scholars, researchers, and writers. Projects may be at any stage of development.

Upon completion of their book projects, Public Scholar award recipients will be expected to participate in public events, such as serving as keynote speakers at conferences and offering public lectures at book festivals, library and museum programs, or other events aimed at reaching broad audiences. Additional NEH support for such events may become available.

Public Scholar awards may not be used for

- promotion of a particular political, religious, or ideological point of view;
- advocacy of a particular program of social or political action;
- support of specific public policies or legislation;
- projects that fall outside of the humanities; the creation or performance of art; creative writing, memoirs, and creative nonfiction; and empirically based social science research or policy studies;
- research for doctoral dissertations or theses by students enrolled in a degree program;
- dissertation revision projects;
- the preparation or revision of textbooks;
- curriculum development:
- the development of teaching methods or theories;
- educational or technical impact assessments;
- historical fiction and philosophical novels;

- guide books, how-to books, and self-help books;
- anthologies and edited collections of essays;
- publications consisting primarily of transcribed interviews, oral histories, or responses to questionnaires;
- projects devoted primarily to promoting or disseminating a completed book;
- books for children or young adults;
- works of graphic fiction or nonfiction; or
- translations.

Special encouragement in recognition of and preparation for the 250th anniversary of American independence

Americans will celebrate the 250th anniversary of our nation in 2026. The anniversary of American independence provides an important opportunity to look back at the nation's founding and the past two and a half centuries of American history. What began as a conflict between the thirteen colonies and Great Britain culminated in the creation of what would become the world's oldest constitutional democracy. As we approach the 250th anniversary, NEH is offering a special encouragement to applicants to the Public Scholar Program to consider the impact—both immediate and long term—of the momentous events of 1776.

We especially invite applications that explore the role of the Declaration of Independence and other foundational documents in the making of U.S. history. In addition, we would welcome applications that explore the experiences of states and communities beyond the original colonies in joining the nation, since they have their own stories of becoming part of the United States. We also invite applications examining the stories and contributions of under-represented communities in our nation's history.

Advancing civic education

NEH encourages projects that promote a deeper understanding of American history and culture, as well as projects that advance civic education and knowledge of America's core principles of government.

Standing Together

NEH invites projects related to its <u>Standing Together</u> initiative, which encourages projects related to war and military service.

All applications will be given equal consideration in accordance with the program's evaluation criteria.

Providing access to NEH-funded products

As a taxpayer-supported federal agency, NEH endeavors to make the products of its awards available to the broadest possible audience. Our goal is for scholars, educators, students, and the American public to have ready and easy access to the wide range of NEH-funded products. For the Public Scholar Program, products might be issued as printed books (paperback or hardbound), e-books, audiobooks, or (ideally) in all these formats. Digital or Web-based products intended to supplement a proposed book are also allowed. In such cases, all other considerations being equal, NEH gives preference to products that the public can access at no cost.

Award information

The Public Scholar Program supports continuous work over a period of six to twelve months. Awards may be held part time or full time (or part time for some months and full time for other months). Successful applicants receive a stipend of \$5,000 per full-time month. The maximum stipend is \$60,000 for a twelve-month period. Awards will be reduced to reflect the smaller time commitment when recipients work part time or for less than twelve months. Recipients must work at least half-time on their projects for the entire award period (or period of performance), and they must work the equivalent of at least six full-time months. No period of performance may exceed twelve months. Recipients who work full-time on their projects must forgo other major activities, including teaching. Recipients combining a part-time award with teaching must carry a reduced class load during the period of performance. Applicants should request periods of performance that suit their schedules and the needs of their projects. Requesting an award period shorter than twelve months will not improve an applicant's chance of receiving an award.

Recipients may begin their periods of performance as early as September 1, 2019, and must begin no later than September 1, 2020.

In accordance with the Code of Federal Regulations (2 CFR 200.315(b)) NEH reserves a royalty-free, non-exclusive, and irrevocable right to reproduce, publish, or otherwise use an award recipient's NEH-supported work for federal purposes, and to authorize others to do so. NEH has typically exercised this right in consultation with Public Scholar award recipients to publish an excerpt from resulting grant product(s) in Humanities magazine or on the NEH website.

Prospective applicants who have questions are encouraged to contact NEH staff at 202-606-8200 or publicscholar@neh.gov.

Cost sharing, indirect costs, and budget

Public Scholar awards go to individuals, not to institutions. They do not require cost sharing and do not include indirect costs.

Because award amounts are based on the length of the period of performance and the time commitment specified in the application, no budget is required. Successful applicants will, however, be required to complete the Public Scholar Acceptance Form, from which the payment schedule will be determined.

Eligibility

The Public Scholar Program accepts applications from individuals, whether they have an affiliation with a scholarly institution or not.

U.S. citizens, whether they reside inside or outside the United States, are eligible to apply. Foreign nationals who have been living in the United States or its jurisdictions for at least the three years prior to the application deadline are also eligible. Foreign nationals who take up permanent residence outside the United States any time between the application deadline and the end of the period of performance will forfeit their eligibility to hold an award. (Leaving the U.S. on a temporary basis is permitted.)

To be eligible, applicants must also meet one of the following conditions: they must either a) have written as sole author a book published by a university or commercial press; OR b) have written at least three articles or essays appearing in publications that reach a large national or international audience of general readers. The articles or essays may be published either in print or electronically.

In either case, applicants must list the relevant publications on their résumés.

Applicants may not meet this requirement with a co-authored volume, an edited collection of essays or an anthology, a self-published book, or a book that has not yet been published at the application deadline. Nor may they meet it with articles or essays appearing in peer-reviewed academic journals or other publications aimed primarily at readers with a professional interest in the material.

Prospective applicants who are unable to meet the requirement for previous publications may wish to apply instead to the NEH <u>Fellowships</u> or <u>Summer Stipends</u> programs.

NEH will not review late, incomplete, or ineligible applications.

Currently enrolled students

While applicants need not have advanced degrees, individuals currently enrolled in a degree-granting program are ineligible to apply.

Dissertation revisions

Applicants may not request funding for projects based on completed dissertations.

Projects previously supported by NEH

You may not apply for funding for a project previously supported by an NEH Fellowship, a Public Scholar award, or an Award for Faculty. You may, however, apply for funding for a project previously supported by a two-month NEH Summer Stipend. In such a case NEH will review the accomplishments from the previous award to determine whether the project is likely to result in a book manuscript of broad interest to a general audience.

An NEH grant for one stage of a project does not commit NEH to continued support for the project. Applications for each stage of a project are evaluated independently.

Concurrent grants from other organizations

Recipients of NEH Public Scholar awards may simultaneously hold fellowships or grants from institutions other than NEH in support of the same project during their period of performance. They may also have an advance from a publisher.

Collaborative projects

The Public Scholar Program is designed primarily for individual researchers. Awards may not be divided. If you are seeking funding for a co-author, each person must submit a separate application specifying the individual contribution. Panelists will be asked to evaluate each application on its own merits. Each application should clearly explain how the work will be divided and the extent to which each collaborator's contribution depends on that of the other(s). Applicants who are seeking funding only for themselves but who are working as part of a collaborative team are eligible. In this case, too, the application should clearly explain how the work will be divided and the extent to which each collaborator's contribution depends on that of the other(s). Scholars who are working as part of a collaborative team should consider applying for an NEH Collaborative Research grant for the larger project.

Multiple applications

Applicants may submit only one proposal to the Public Scholar Program in a given year. They may, however, compete concurrently in the following programs for individuals in a given year:

- Fellowships or NEH-Mellon Fellowships for Digital Publication;
- Awards for Faculty at Hispanic-Serving Institutions, Historically Black Colleges and Universities, or Tribal Colleges and Universities;
- Summer Stipends:
- NEH and National Science Foundation <u>Fellowship Program for Documenting Endangered Languages (DEL)</u>;
- Japan-United States Friendship Commission (JUSFC) <u>Fellowships for Advanced Social Science Research on Japan</u>; and
- Library of Congress (LOC) <u>John W. Kluge Center Fellowships</u>.

Applicants may also apply for an NEH-funded fellowship offered by an <u>independent research</u> <u>institution</u>.

Each competition has separate requirements for its applications. Please follow the instructions in the relevant set of guidelines in each case.

An individual may not hold two or more NEH individual awards (for example, a Fellowship and a Public Scholar award or a Summer Stipend) with overlapping periods of performance. Nor may an individual work on a project supported by an NEH institutional award as either a project director or participant during the period of performance of an NEH individual award that is held full time.

Additional restrictions may apply if you are a recipient of, or a participant in, multiple NEH awards. Please contact program staff for details.

Applications must be complete, must observe the specified page limits, and must be received and validated by Grants.gov under the correct funding opportunity number to be considered under this notice.

II. Preparing and Organizing your Application

Resources for preparing a strong application

To prepare a strong application, applicants are encouraged to take the following steps:

- read these **guidelines** carefully, noting what information needs to be provided in the application;
- review the sample narratives, which are available on the program resource page;
- consult the program's **review criteria**, which are listed immediately below;
- read the **Frequently Asked Questions**, which are available on the <u>program resource</u> <u>page</u>; and
- contact the **program staff** (at 202-606-8200 or <u>publicscholar@neh.gov</u>) to raise any questions you may have about your project or the application.

Review criteria

Evaluators are asked to apply the following five criteria when judging the quality of applications.

- 1. The appeal and intellectual significance of the proposed book for general readers.
- 2. The applicant's record of research and experience in interpreting the humanities for general audiences.
- 3. The quality of the conception, definition, organization, and description of the project; and the breadth and depth of the humanities research underlying the project.
- 4. The quality of the writing sample and the applicant's clarity of expression.
- 5. The feasibility and appropriateness of the proposed plan of work, the soundness of the dissemination plan, and the likelihood that the applicant will complete the project.

The Public Scholar Program supports projects at any stage of development.

NEH staff is not able to read and comment on draft proposals. However, potential applicants may discuss with staff specific concerns or questions that arise during the preparation of their proposals. Contact NEH's Division of Research Programs at 202-606-8200 or publicscholar@neh.gov.

While staff responses to concerns or questions are not part of the formal review process and have no bearing on the final outcome of the proposal, previous applicants have found them helpful.

Applications that violate the format instructions (including page limits) will not be reviewed.

Once an application has been submitted, staff will not comment on it except with respect to issues of completeness and eligibility. All applications must be submitted via Grants.gov. Your application should include the following parts.

Application elements

For important guidance on choosing your project title, see below under Part III (Submitting your Application), Step 4, point 6.a.

1. Narrative—Not to Exceed Four Single-Spaced Pages

The narrative should provide an intellectual justification for your project, addressing the four areas listed below: the intellectual significance of the project for general audiences; work plan; competencies, skills, and access; and final product and dissemination. A simple statement of need or intent is insufficient. The narrative should not assume specialized knowledge and should be free of technical terms and jargon.

Applicants should format single-spaced pages with one-inch margins and with a font size no smaller than eleven point. Images, charts, diagrams, footnotes, and endnotes are allowed, if they fit within the four-page limit.

• Significance and contribution

Describe the significance and appeal of the proposed project for general audiences. Explain why your topic matters, and why it will be of interest to general readers. Provide an overview of the project, explaining its scope and the basic ideas, problems, arguments, questions, texts, people, and/or events that it will explore. Discuss the project's scholarly basis, and how you will convey this scholarship to general audiences.

o Work plan

Describe your research and specify the part or stage of the project that will be supported by the award. Indicate what, if any, parts of the project have already been completed. Provide a work plan describing what will be accomplished during the period of performance, and on what schedule. Indicate how many months of support you are requesting and whether you propose full- or part-time work, or a combination of the two. Provide a chapter outline for your book, with brief explanations of each chapter's contents. Such explanations are particularly important when a chapter title does not clearly indicate the contents of the chapter.

Your work plan should not depend on factors outside your control, such as the receipt by a particular date of an editor's comments or anonymous readers' reports. If you plan to submit your manuscript to an editor or publisher before

the end of the period of performance, explain what additional work the project will require after you submit the manuscript and why it merits support.

o Competencies, skills, and access

Explain your competence in the area of your project, and describe your experience in conveying scholarship to a broad audience. If the subject is new to you, explain your reasons for working in it and your qualifications to do so. Specify the level of competence in any language or skills needed for the study. Describe where the study is being conducted and what research materials (primary and/or secondary) are being used. If relevant, specify the arrangements for access to archives, collections, or institutions that contain the necessary resources.

Final product and dissemination

Explain how you plan to structure the contents of the book to appeal to a broad audience. Describe the audience(s) you aim to reach and discuss why your treatment of the subject is appropriate to the subject matter and audience. Indicate whether the finished book will contain notes or other documentation of sources and what form the documentation will take. Indicate as well when you expect to submit the project for publication and when you expect the book to appear. Describe the plans that you and your publisher (if you already have one) have developed for disseminating and marketing the book. Although the program's main goal is to support the writing of books, the program also supports the creation of digital, electronic, or Web-based materials intended to supplement a proposed book. If you are proposing such materials, discuss their technical specifications and explain how they will be supported and maintained beyond the grant period.

2. Bibliography—Not to Exceed One Single-Spaced Page

The bibliography should consist of primary and/or secondary sources that relate directly to the project. If you are proposing work with archival materials, indicate the archive(s) in which they are held. Evaluators will use the bibliography to assess your knowledge of the subject area and the relevant research methodology. Any standard bibliographic format is acceptable. Leave one-inch margins and use a font size no smaller than eleven point. Items referenced in the narrative need not appear in the bibliography, if the citation in the narrative enables readers to identify the work.

3. Résumé—Not to Exceed Two Single-Spaced Pages

Your résumé should provide the information listed below. Do not submit a narrative biographical statement instead of a résumé.

- o Current and Past Positions.
- Education: List degrees, dates awarded, and titles of theses or dissertations.

- Awards and Honors: Include dates. If you have received prior support from NEH, indicate the dates of these grants and the publications that resulted from them.
- o *Publications*: Include full citations for publications and presentations.
- o Other Relevant Professional Activities and Accomplishments. Include your level of competence in any relevant foreign languages.

4. Writing sample

Applicants must submit a writing sample consisting of not more than twenty double-spaced pages, including footnotes or end notes (if any). You may single-space footnotes or end notes. Leave one-inch margins and use a font no smaller than eleven point. It is best to provide a draft chapter of the proposed book, or a partial chapter. If a draft chapter is not available, a writing sample in the style of the proposed book is acceptable. The writing sample should be no more than five years old. If the sample is a PDF of pages from a previous publication, its length must not exceed the equivalent of twenty double-spaced pages in eleven-point typescript. If the sample has been published, you must indicate this and list the publication on your résumé.

The writing sample should demonstrate how the final product will read. If the sample is drawn from the proposed book, it should demonstrate how you will handle the documentation of sources or contain a note explaining this. The sample may not include more than one work or excerpts from more than one work, and it may not be coauthored. It may not include a table of contents, outline, or abstract of the proposed book or of portions of it. (Information of this sort belongs in the narrative.) You may include brief notes explaining abridgments made to conform to the page limit. Visual materials are also permitted, so long as they fit within the twenty-page limit.

5. Publisher's letter of interest or commitment (if applicable)

Applicants who have already explored publication arrangements are encouraged to submit a letter of interest or commitment from a publisher. The letter should be from an official representative of the publisher. Letters of commitment should indicate the physical format(s) planned for the book, dissemination plans, and print-run expectations. Please do not substitute or include a copy of the book contract.

6. Letters of recommendation

Applicants are asked to solicit two letters of reference. Referees' letters should speak directly to the evaluation criteria and to the applicant's ability to write effectively for a broad audience. Provide the names, e-mail addresses, and affiliations for your two reference letter writers on the NEH Supplemental Information for Individuals Form. Please supply only **one** e-mail address for each referee in the relevant field. (The form is explained below, in the instructions for Form III.) Approximately seven to ten days after the deadline, NEH will send requests to your letter writers, asking them to submit their letters online. Letters must be submitted online not later than **Wednesday**, **February 27**, **2019**. Your publisher or prospective publisher is limited to writing a letter of commitment or intent (see 5 above) and should not submit a letter of recommendation.

Applicants are responsible for ensuring that their referees have received and responded to the NEH requests for their letters.

7. Explanation of delinquent federal debt, if applicable

If you are delinquent in the repayment of any federal debt, provide explanatory information on a separate page or pages. Also provide evidence that you have entered into a repayment agreement with the Internal Revenue Service, and that you are current on all payments due. Examples of relevant debt include student loans, delinquent payroll or other taxes, audit disallowances, and benefit overpayments. (See OMB Circular A-129.)

III. Submitting your Application

All applications to this program must be submitted via Grants.gov.

What follows is a step-by-step guide for submitting your NEH Public Scholar application through Grants.gov.

STEP 1: Register with Grants.gov

NEH strongly recommends that you complete your registration at least two weeks before the application deadline.

If you are registering with Grants.gov for the first time as an individual applicant, go to https://apply07.grants.gov/apply/register.faces. If necessary, enter this Funding Opportunity Number:

20190206-FZ

When you are completing the registration form, the Grants.gov system should automatically fill in the box for the DUNS number with a code such as INDVooooo. Do not attempt to change this code (for example, by entering the DUNS number of your institution).

Applicants who have already registered at Grants.gov as individuals need not re-register to submit their proposals. We encourage you, however, to check your account ahead of the deadline to confirm that it is still active and that your password has not expired.

Please be certain to use a Grants.gov individual account to submit your application. The Grants.gov system will not allow you to submit a Public Scholar application through an institutional account.

If you have problems registering with Grants.gov, contact the Grants.gov help desk at 1-800-518-4726 or support@grants.gov.

Applicants who lose their username and password can request a reminder at https://applyo7.grants.gov/apply/login.faces?userType=applicant&cleanSession=1.

STEP 2: Download the current version of the free Adobe Acrobat Reader

Using Grants.gov Workspace to prepare your application, you may complete the application forms online without using the Adobe Acrobat Reader software. You will in any case need to convert the files that you will attach to the Attachments Form—see below for information about this form—into PDFs.

If you are filling out the application forms as PDFs, you will need to download and install the latest version of Adobe Acrobat Reader, which is available at no charge and is compatible with both PCs and Apple computers. **Using older versions of the Adobe Acrobat Reader, or other readers such as Apple Preview, will prevent your application from being processed properly.** Visit Grants.gov's Adobe Software Compatibility page to verify the compatibility of your current software or to download the appropriate version at https://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html.

STEP 3: Download the application package or access it through Grants.gov Workspace

Using Grants.gov Workspace, you may complete the application forms online. You may also download the forms as PDFs. Information about Workspace is available here. (Grants.gov recommends that you use the latest version of any of the following browsers: Microsoft Internet Explorer, Mozilla Firefox, Google Chrome, and Apple Safari.) You can access the forms online or download the PDFs at any time. (You do not have to wait for your Grants.gov registration to be complete.) A link to the Grants.gov application package can be found on the program resource-page.

STEP 4: Prepare the application forms

The application package contains three forms that you must complete in order to submit your application:

- Form I: Application for Federal Assistance Individual form—this Grants.gov form (also known as the SF-424 Individual form) asks for basic information about you and your project.
- **Form II: Attachments Form**—this Grants.gov form allows you to attach the components of your application: the narrative, the bibliography, the résumé, the writing sample, and (if applicable) a publisher's letter of interest or commitment and an explanation of any delinquent federal debt.
- Form III: NEH Supplemental Information for Individuals Form—this NEH form asks for professional and institutional information about you and your reference-letter writers.

Form I: Application for Federal Domestic Assistance – Individual Form

In the "Application Filing Name" field that appears upon opening the application package, type your name.

You may disregard items 1-4 on the form:

- **1. Name of Federal Agency**: This is filled in automatically.
- 2. Catalog of Federal Domestic Assistance Number: This is filled in automatically.
- 3. Date Received: Please leave blank.
- 4. Funding Opportunity Number and Title: Both are filled in automatically.

Provide the following information for items 5-7:

- **5. Applicant Information** (includes the following sections):
- a. "Name and Contact Information." Provide your name, telephone number, **e-mail address**, and mailing address. **Note**: You must include an e-mail address in the "Email" field, even though it is not highlighted as a required field. NEH will use this e-mail address to notify you of the outcome of your application.
- b. "Address." Provide your mailing address. **Note**: Your ZIP code must include the four-digit extension, preceded by a hyphen. If you do not know your four-digit extension, use "-0000" (four zeros). For postal codes outside the US, you may substitute zeroes if necessary, since this field does not accept letters.
- c. "Citizenship Status." Indicate with an "X."
- d. "Congressional District of Applicant." Enter the number (just the number, not the state) of your Congressional district. To find the number of your Congressional district, visit the House of Representatives website at http://www.house.gov and type your ZIP code into the "Find Your Representative" tool. If you do not have a Congressional district (that is, you are in a state or U.S. territory that does not have districts or you are in a foreign country), enter a "o" (zero). If you live in one district but your institution is in another, provide the number for the district in which you live.
- **6. Project Information** (includes the following sections):
- a. "Project Title." Enter this, even though the field is not highlighted. The title should not exceed 125 characters).

The title should be descriptive of the project, substantive, and free of specialized language. Most importantly, your title should be easily understood by the general public. NEH reserves the right to retitle funded projects for clarity when announcing its funding decisions and in its own reports and communications, but recipients are permitted to use their preferred title for any award products. You can find guidance for writing a good title in the Frequently Asked Ouestions, which are available on the program resource page.

b. "Project Description." Describe your project for a nonspecialist audience, stating the importance of the proposed work to larger issues in the humanities. **Do not exceed one thousand characters, including spaces.** Your project description will be available to the evaluators, along with your other application materials.

If you exceed one thousand characters, including spaces, the Grants.gov validation software may reject your application or cut off your project description at the thousand-character limit.

c. "Proposed Project." Enter the starting and ending dates for your proposed period of performance. You must start your period of performance on the first day of the month and end it on the last day of the month. Because award amounts depend on the number of months of work that you specify, the dates that you enter here will determine the maximum amount of your award. No award will exceed \$60,000, which is the amount awarded for twelve months of full-time work. You may change your start and end dates if you receive an award, so long as the change does not increase the dollar value of your award. For more information on allowable award terms and how awards are calculated, see "Award Information" on page 5.

7. Signature: By clicking on the "I Agree" box, the applicant certifies the following:

He or she is not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in covered transactions by any federal department or agency, and has not—within the three years preceding the submission of this application—been convicted of or had a civil judgment rendered against him or her for commission of fraud or a criminal offense in connection with a public (federal, state, or local) transaction or a contract under a public transaction; for violation of federal or state antitrust statutes; for commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property; had any public transactions terminated for cause or default; and is not presently indicted for or otherwise criminally or civilly charged by a governmental entity with any of the preceding offenses. (See <u>2 CFR parts 180</u> and <u>3369</u>.)

He or she is not delinquent in the repayment of any federal debt or is providing explanatory information about any delinquency. Examples of relevant debt include student loans, delinquent payroll or other taxes, audit disallowances, and benefit overpayments. (See OMB Circular A-129.)

Click on the "Save" button at the top of the form to save your work and return to the main menu.

Form II: Attachments Form

Open the form and complete it.

The component parts of your application must be attached to the Attachments Form in Portable Document Format (PDF). NEH cannot accept attachments in their original word processing, graphic, or spreadsheet formats. If you do not have software to convert your files into PDFs, many available low-cost and free software packages will do so. Make sure that all files in formats other than PDF (images, Word or Excel files, etc.) have been properly saved as PDF files. Do not simply rename your non-PDF files with PDF extensions. If an attachment contains multiple PDFs, you must merge those documents into a single PDF. Do not attach a zip file containing multiple PDFs.

Attachments must be in the specified order and must use the specified names. Do not use accent marks or other special characters in your file names. Use only the following characters when naming your attachments: A-Z, a-z, o-9, underscore (_), hyphen (-), space (), period, parenthesis (), curly braces {}, square brackets [], ampersand &, tilde ~, exclamation point !, Comma , Semicolon; Apostrophe ', at sign @, number sign #, dollar sign \$, percent sign %, plus

sign +, equal sign = . File names must be limited to 50 or fewer characters. If you include any other character in a filename, Grants.gov will reject your application.

When you open the Grants.gov Attachments Form, you will find fifteen attachment buttons, labeled "Attachment 1" through "Attachment 15." By clicking on a button, you will be able to choose the file from your computer that you wish to attach. You must attach your files in the proper order and name them exactly as indicated:

ATTACHMENT 1: To this button, please attach your project **narrative**. Name the file "narrative.pdf".

ATTACHMENT 2: To this button, please attach your **bibliography**. Name the file "bibliography.pdf".

ATTACHMENT 3: To this button, please attach your **résumé**. Name the file "resume.pdf". Do not include accent marks in the file name; doing so may cause an error in the processing of your application.

ATTACHMENT 4: To this button, please attach your **writing sample**. Name the file "writingsample.pdf".

ATTACHMENT 5: To this button, please attach your **publisher's letter of interest or commitment** (if applicable). Please do not substitute or include a book contract. Name the file "publisherletter.pdf".

ATTACHMENT 6: To this button, please attach your **explanation of any delinquent federal debt** (only if applicable). Name the file "delinquentdebt.pdf".

Note: To ensure that NEH's system does not reject your application after it has been retrieved from Grants.gov, check that your attachments meet the following requirements:

- 1. All attachments must be in PDF format.
- 2. Attachments must be in the specified order and must use the specified names.
- 3. Attachments must not exceed the specified length limitations.
- 4. No attachments other than those specified above may be included.

Form III: NEH Supplemental Information for Individuals Form

Open the form and provide the following information:

- *Field of Project:* Using the drop-down menu, indicate the field(s) of your project. You may select one, two, or three fields. If you select more than one, list the primary field first.
- *Project Director Field of Study:* Using the drop-down menu, choose the field of study that best describes your area of expertise.

- Address Information: Please indicate whether the address that you have given on the Application for Federal Domestic Assistance - Individual form is your home or work address.
- Institutional Affiliation: If you are not affiliated with an institution, please click "No" and continue to the Status section. If you are affiliated with an institution, please complete the information for that institution. Applicants with a U.S. institutional affiliation must include their institution's DUNS number and TIN/EIN number. These numbers are required, even though you are the applicant rather than your institution. The numbers are generally available from an institution's sponsored research office or at your institution's website. If your affiliation is temporary or of uncertain duration (for example, if you have an adjunct position), or if you are now retired from your institution, please indicate this on your résumé.
- *Status:* For purposes of processing your application, please designate yourself as a "junior scholar" if you are seven years or less beyond your final degree and as a "senior scholar" if you are eight years or more beyond your final degree.
- Reference Letters: Provide the names, e-mail addresses, and affiliations for your two recommenders. Enter only one e-mail address for each letter writer. Applicants are responsible for providing accurate e-mail addresses. The NEH system will use the addresses exactly as they have been entered on this form.

About seven to ten days after the application deadline (not necessarily seven to ten days after the receipt of your application), NEH will e-mail requests to the two recommenders, with instructions for submitting their letters online. Applicants are responsible for ensuring that their recommenders have received these requests and that the letters are properly submitted. (For additional information, see below under "Checking the status of your letters of reference.") To ensure full consideration, letters must be submitted online **not later than Wednesday**, **February 27**, **2019**. Late letters will be added to your file when they arrive, but it is possible that evaluators will not take them into account.

Applicants are responsible for providing referees with relevant materials (such as a draft of the application). Letters of reference are more highly regarded if they address the specific proposed activity and the candidate's ability to undertake it.

Missing reference letters will not disqualify an application from review.

• Nominating Official: Please leave this section blank.

STEP 5: Submit your application to Grants.gov

When you have completed the Application for Federal Domestic Assistance - Individual Form and the NEH Supplemental Information for Individuals Form and attached the component parts of your application to the Attachments Form, perform validation checks on the application as needed, and fix any errors. After you have done so, you are ready to submit your application to Grants.gov.

To submit your application, your computer must have an active connection to the Internet. To begin the submission process, click the "Submit" button. A page will appear, asking you to sign and submit your application. When you click the "Sign and Submit Application" button, your application will be submitted to Grants.gov. Please note that it may take some time to submit your application, depending on the size of your files and the speed of your Internet connection.

After the submission is complete, a confirmation page will appear, showing the tracking number assigned to your application. Please print this confirmation for your records. This confirmation indicates that you have submitted your application to Grants.gov for initial processing. Please see below, under "Confirming the acceptance of your application," for more details about the additional confirmation messages that you should receive.

Note: If you find that you need to make a change to your application after you have submitted it, you may do so any time before the application deadline. Simply submit the corrected version through Grants.gov. All submissions are time-stamped, and NEH will keep only your most recent one.

Deadline for submitting applications

Applications to the NEH Public Scholar Program must be received and validated by Grants.gov by 11:59 p.m. Eastern Time on February 6, 2019. Grants.gov will date- and time-stamp your application after it is fully uploaded. Applications submitted after that date will not be accepted. It is not possible to modify or update an application after the deadline.

IV. What Happens After the Submission of an Application

Confirming the acceptance of your application

After you submit your application, Grants.gov will send you up to five e-mail messages confirming receipt of your application. These messages represent different stages in the application acceptance process. You should verify that you have received all of the following five confirmation messages. Please note that e-mail filters may send these messages to your spam or junk folder.

It is your responsibility as an applicant to confirm that Grants.gov and subsequently NEH have accepted your application, by verifying that you have received all five of the messages described here.

Message 1: After you submit your application, Grants.gov will immediately assign the application a Grants.gov tracking number (a number like GRANT11791444). Grants.gov will send you an e-mail with this number. The subject line of the message will include the words "Grants.gov Submission Receipt."

Message 2: At this point Grants.gov attempts to validate the application. If everything checks out, Grants.gov will send you a **second** e-mail confirming that it validated the application, and that it will send the application to NEH. The subject line of the message will include the words

"Grants.gov Submission Validation Receipt for Application." (This message—and all subsequent messages from Grants.gov—again includes the Grants.gov tracking number.) But if Grants.gov discovers a problem with the application (for example, the project description component of the Application for Federal Domestic Assistance exceeds the thousand-character limit, or a file name includes an unacceptable character such as a comma), then the attempt at validation fails; Grants.gov accordingly rejects the application. Grants.gov would then send you a message, indicating that the application has been "rejected with errors," and that you must resubmit. Once you correct the error and resubmit, the process starts all over again. (In other words, Grants.gov would then send you a new version of Message 1, including a new Grants.gov tracking number.) Note that NEH does not receive an application that Grants.gov rejects because it has errors.

Message 3: After Grants.gov has validated the application, NEH uploads the application. Grants.gov then sends you a **third** e-mail to that effect. The subject line of the message will include the words "Grants.gov Agency Retrieval Receipt for Application." (The "agency" mentioned in the subject line is NEH, which Grants.gov also calls the "grantor agency.")

Message 4: At this point, NEH assigns its own application number (different from the Grants.gov tracking number) to the application. (The NEH application number will begin with two or three capital letters, followed by a hyphen, followed by six numbers: for example, HD-123456, or HDD-123456.) NEH then informs Grants.gov of the application number that it has assigned. Grants.gov then sends you a **fourth** e-mail, providing the NEH application number (as well as, again, the Grants.gov tracking number). The subject line of the message will include the words "Grants.gov Agency Tracking Number Assignment for Application." (What Grants.gov calls the "agency tracking number" is what NEH calls the application number.)

Message 5: Now that NEH has the application, it runs its own check to make sure that the application's attachments are in proper PDF format. If everything is OK, NEH informs Grants.gov that it has accepted the application. Grants.gov then sends you a **fifth** e-mail, informing you that NEH has accepted the application. The subject line of the message will include the words "Grants.gov Agency Notes Assigned for Application." (If NEH accepts the application, the "Agency Notes" are as follows: "Application accepted by agency.") But if NEH rejects the application, NEH sends its own e-mail directly to you. In that case you will receive two e-mails, one from NEH and one from Grants.gov. The NEH e-mail will inform you of the problem with the application (for example, an attachment was not submitted as a PDF). It will also tell you that you need to correct the error and then to resubmit. In that case the e-mail that Grants.gov sends you will reiterate the fact that NEH rejected the application because of problems with the attachments. After you receive these e-mails you must resubmit the application and await receipt of the five messages explained here.

Additional information

Grants.gov normally sends these e-mail messages within twenty-four hours of the submission of an application. Delays can, however, occur when system usage is heavy. Each message includes your application's Grants.gov tracking number, and the fourth and fifth messages also include the NEH application number. Keep these messages for your records.

If you receive a message (ordinarily, the second or the fifth message) indicating that your application has been rejected, read it carefully. It should explain what was wrong with your

application, and what you must do to fix the problem. Once you fix the problem, you can resubmit the application (so long as you do so before the program's application deadline).

If you submit an application and don't receive all five of the e-mail messages, check your "spam" folder, which may contain one or more of them. If you still can't find them, contact Grants.gov immediately to determine the status of your application, by calling 1-800-518-GRANTS (4726) or sending an e-mail to support@grants.gov. Include the Grants.gov tracking number in correspondence regarding the application. You can also use the Grants.gov Track my Application tool to check the status of your application.

Checking the status of your letters of reference

NEH requests letters of reference from your recommenders approximately seven to ten days after the application deadline. You will be notified by e-mail when each of your letters of reference has been received. After you have received the fifth message from Grants.gov (confirming that NEH received your application), you may check the status of your letters by logging in to the secure area of NEH's website. Enter your NEH application number and your Grants.gov tracking number. You will be able to see the names and e-mail addresses of your letter writers and whether their letters have arrived. If necessary, you may send reminders to your letter writers (including the upload link) from this site. You are responsible for ensuring that your letter writers have received the solicitations from NEH and submitted their letters.

Review and selection process

All applications receive peer review. Knowledgeable persons outside NEH will read each application and advise the agency about its merits. NEH staff comments on matters of fact or on significant issues that otherwise would be missing from these reviews, then makes recommendations to the National Council on the Humanities. The National Council meets at various times during the year to advise the NEH chairman. The chairman takes into account the advice provided by the review process and, by law, makes all funding decisions. More details about NEH's review process are available here.

Information for all applicants and for successful applicants

Applicants will be notified of the results of their applications by e-mail in early August 2019. NEH will send the notice to the e-mail address supplied in the application. All applicants may obtain the evaluations of their applications by sending an e-mail message to publicscholar@neh.gov.

Before submitting an application, applicants should review NEH's Research Misconduct Policy.

To accept an award, you must agree to the terms set forth in the Public Scholar Program <u>terms</u> and conditions and to any specific conditions contained in the award document.

A final performance report will be due within ninety days after the end of the period of performance. This report must be submitted electronically via <u>eGMS Reach</u>, NEH's online grant management system.

A final financial report is not required.

V. Additional Information

Contact information for the program and Grants.gov

Division of Research Programs
National Endowment for the Humanities
Washington, DC 20506
202-606-8200
publicscholar@neh.gov

Grants.gov: www.grants.gov

Grants.gov help desk: support@grants.gov Grants.gov training documents and videos:

https://www.grants.gov/web/grants/applicants/applicant-training.html

Grants.gov support line: 1-800-518-GRANTS (4726)

Privacy policy

Information in these guidelines is solicited under the authority of the National Foundation on the Arts and Humanities Act of 1965, as amended, 20 U.S.C. 956 *et seq*. The principal purpose for which the information will be used is to process the application. The information may also be used for statistical research, analysis of trends, and Congressional oversight. Failure to provide the information may result in the delay or rejection of the application.

Application completion time

The Office of Management and Budget requires federal agencies to supply information on the time needed to complete forms and also to invite comments on the paperwork burden. NEH estimates that the average time to complete this application is fifteen hours per response. This estimate includes time for reviewing instructions, researching, gathering, and maintaining the information needed, and completing and reviewing the application.

Please send any comments regarding the estimated completion time or any other aspect of this application, including suggestions for reducing the completion time, to the Chief Guidelines Officer, at guidelines@neh.gov; the Office of Publications, National Endowment for the Humanities, Washington, D.C. 20506; and the Office of Management and Budget, Paperwork Reduction Project (3136-0134), Washington, D.C. 20503. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB number.

APPLICATION CHECKLIST

- □ **Verify your registration or register with Grants.gov.** NEH recommends that you complete registration at least two weeks before the deadline.
- Access the application package through Grants.gov Workspace. The <u>program resource page</u> on NEH's website has a direct link to the package. You can also search Grants.gov for this program.
- Complete the following forms contained in the Grants.gov application package.
 - 1. Application for Federal Assistance Individual Form
 - 2. Attachments Form—Using this form, attach the parts of your application as described in these guidelines:

ATTACHMENT 1: Narrative (name the file "narrative.pdf")

ATTACHMENT 2: Bibliography (name the file "bibliography.pdf")

ATTACHMENT 3: Résumé (name the file "resume.pdf")

ATTACHMENT 4: Writing sample (name the file "writingsample.pdf")

ATTACHMENT 5: Publisher's letter of interest or commitment (if applicable) (name the file "publisherletter.pdf")

ATTACHMENT 6: Explanation of any delinquent federal debt (if applicable) (name the file "delinquentdebt.pdf")

Note that if you do not use the file names indicated above, your application may be rejected. Do not include accents or other special characters in your file names.

Your attachments must be in Portable Document Format (.pdf). We cannot accept attachments in their original word processing or spreadsheet formats.

- 3. NEH Supplementary Information for Individuals Form. If you are affiliated with a U.S. institution, this form requires you to provide your institution's DUNS number and TIN/EIN number.
- Upload your application to Grants.gov. NEH strongly suggests that you submit your application no later than noon Eastern Time on the day of the deadline. Doing so will leave you time to contact the Grants.gov help desk for support, should you encounter a technical problem of some kind. The Grants.gov help desk is available seven days a week, twenty-four hours a day (except on federal holidays), at 1-800-518-4726. You can also send an e-mail message to support@grants.gov.

- □ **Verify that you received all five confirmation messages from Grants.gov.** The messages are described above in Section IV, "What Happens after the Submission of an Application."
- Monitor the status of your letters of recommendation. Approximately seven to ten days after the application deadline, NEH will ask your recommenders to submit their letters. You may check the status of your letters by logging in to the secure area of NEH's website. All letters must be received no later than February 27, 2019. You are responsible for ensuring that your letter writers have uploaded their letters by the deadline.

TIMELINE

Before the February 6, 2019 deadline: Contact Division of Research program officers (at 202-606-8200 or publicscholar@neh.gov) with questions and for advice (optional)

January 23, 2019: Register or verify your registration with Grants.gov by this date

February 6, 2019: Application must be submitted through and validated by Grants.gov by 11:59 P.M. Eastern Time on this date

February 15, 2019: NEH sends recommenders requests for letters of reference by this date

February 27, 2019: Deadline for receipt of letters of reference

Late February and March 2019: Peer review takes place

July 2019: Meeting of the National Council on the Humanities, followed by funding decisions

Early August 2019: Applicants are notified of the funding decisions

August 31, 2019: Successful applicants must submit the Public Scholar Program Award Acceptance Form by this date in order to accept an award

Late August or early September 2019: Applicants receive award documents from the NEH Office of Grant Management by e-mail

September 1, 2019: Successful applicants may begin work on their projects as early as this date

September 1, 2020: Successful applicants must begin work on their projects no later than this date